Texas A&M AgriLife is committed to upholding the highest standard of ethical conduct and compliance with the legal obligations of the AgriLife agencies. In support of these efforts, the AgriLife Ethics and Compliance Office has been established within AgriLife Administrative Services to provide ethics and management advisory services, as well as to promote compliance with applicable federal, state, Texas A&M University System, and agency laws, policies, regulations, rules, and procedures.

ABOUT

Debra 'Debi' Fincher, Director | 979.845.4789 | debra.fincher@ag.tamu.edu

ETHICS AND COMPLIANCE LEADERSHIP

FUNCTION

Audit Liaison
Provides assistance and management support when units interact with internal and external auditors. Audit follow-up. Hotline investigations.
Debi Fincher
Aliza Dirden
979.845.4789
979.845.9281
debra.fincher@ag.tamu.edu
aliza.dirden@ag.tamu.edu

Ethics Point/Investigations
Receives and investigates allegations of fraud, waste and abuse as well as violations of A&M System policy, AgriLife rules and procedures, and state and federal laws.
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979.845.4789
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Enterprise Risk Management/Ethics and Compliance Plans
Assists with completion of agency's annual enterprise risk management plan/matrix, as well as ethics and compliance plans.
Aliza Dirden
Kevin Helmer
979.845.9281
979.458.3289
aliza.dirden@ag.tamu.edu
kevin.helmer@ag.tamu.edu

Environmental Health and Safety
Provides services that promote a healthy and safe environment, and ensure regulatory compliance.
Jessica Flores
Charlotte Bajoe
979.862.2689
979.862.9199
jessica.flores@ag.tamu.edu
cbajoe@ag.tamu.edu

Export Controls
Implements risk-based procedures to safeguard against export controls violations in the areas of sponsored research, educational activities, visitors, employment, international activities, financial transactions, contracts, shipments, and technology commercialization.
Jamie Ausley
Alex Cleghorn
979.458.8214
979.845.4766
jlausley@ag.tamu.edu
alexandra.cleghorn@ag.tamu.edu

Financial Conflict of Interest
Provides support in management, reduction, and/or elimination of financial conflicts of interest in research.
Kevin Helmer
Jessica Flores
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International Travel
Liaises with System Risk Management to provide assistance with travel to extreme risk countries and regions.
Jamie Ausley
Jennifer Green
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jkgreen@ag.tamu.edu

Management Advisory and Compliance Services
Assists units with methods of improving performance and productivity through analyzing processes for efficient and effective operation, and preparation for audits.
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Indirect Nepotism
Assists with regulations governing the employment of relatives or close acquaintances as related to sponsored research projects.
Jamie Ausley
Alex Cleghorn
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Open Records
Manages public information requests and compilation of responses to comply with the Texas Public Information Act.
Jennifer Green
979.862.6307
jkgreen@ag.tamu.edu

Programs For Minors
Provides support and guidance for events involving minors, including in laboratories and in programs such as Texas 4-H.
Jessica Flores
Kevin Helmer
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Records Management
Assists units in compliance with state and A&M System records management requirements and approves destruction requests.
Jennifer Green
979.845.7879
jkgreen@ag.tamu.edu

Research Compliance
Provides support in research areas outside of export controls to include IBC, AACUC, IACUC, and IRB.
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Alex Cleghorn
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Rules and Procedures
Coordinates the development, review, and approval of all new and revised agency rules and procedures.
Shannon Prescott
Jennifer Green
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Unmanned Aerial Systems
Provides coordination for compliance with federal, System, and agency laws, policies, rules, and procedures in the use of unmanned aerial systems for research.
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