ABOUT
Texas A&M AgriLife is committed to upholding the highest standard of ethical conduct and compliance with the legal obligations of the AgriLife agencies. In support of these efforts, the AgriLife Ethics and Compliance Office has been established within AgriLife Administrative Services to provide ethics and management advisory services, as well as to promote compliance with applicable federal, state, Texas A&M University System, and agency laws, policies, regulations, rules, and procedures.

ETHICS AND COMPLIANCE LEADERSHIP
Debra ‘Debi’ Fincher, Director | 979.845.4789 | debra.fincher@ag.tamu.edu
Stephanie Payton, Executive Assistant II | 979.862.2689 | stephanie.payton@ag.tamu.edu

FUNCTION |
Contact | ABOUT TEXAS A&M AGRI-LIFE | 2147 TAMU, College Station, TX 77843 | P. 979.845.7879 | agrilifeas.tamu.edu/ethics-compliance

Audit Liaison
Provides assistance and management support when units interact with internal and external auditors as well as for audit follow up and hotline investigations.

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Aliza Dirden
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Ethics Point/Investigations
Receives and investigates allegations of fraud, waste and abuse as well as violations of A&M System policy, AgriLife rules and procedures and state and federal laws.

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Enterprise Risk Management/Ethics and Compliance Plans
Assists with completion of agency's annual enterprise risk management plan/vmatrix, as well as ethics and compliance plans.

Aliza Dirden
Kevin Helmer
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Environmental Health and Safety
Provides services that promote a healthy and safe environment and ensure regulatory compliance.

Kevin Helmer
Charlotte Bajoie
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cbajoie@tamu.edu

Export Controls
Implements risk-based procedures to safeguard against export controls violations in the areas of sponsored research, educational activities, visitors, employment, international activities, financial transactions, contracts, shipments and technology commercialization.

Jamie Ausley
Alex Cleghorn
979.458.8214
979.458.4766
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Financial Conflict of Interest
Provides support in management, reduction, and/or elimination of financial conflicts of interest in research.

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International Travel
Liaises with System Risk Management to provide assistance with travel to extreme-risk countries and regions.

Jamie Ausley
Jennifer Green
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Management Advisory and Compliance Services
Assists units with methods of improving performance and productivity through analyzing processes for efficient and effective operation and preparation for audits.

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Indirect Nepotism
Assists with regulations governing the employment of relatives or close acquaintances as related to sponsored research projects.

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Open Records
Manages public information requests and compilation of responses to comply with the Texas Public Information Act.

Jennifer Green
979.862.6307
jkgreen@tamu.edu

Programs for Minors
Provides support and guidance for events involving minors, including in laboratories and in programs such as Texas 4-H.

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Records Management
Assists units in compliance with state and A&M System records management requirements and approves destruction of records.

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Research Compliance
Provides support in research areas outside of export controls to include IBC, AACUC, IACUC, and IRB.

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Rules and Procedures
Coordinates the development, review, and approval of all new and revised agency rules and procedures.

Shannon Prescott
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Unmanned Aerial Systems
Provides coordination for compliance with federal, System, and agency laws, policies, rules and procedures in the use of unmanned aerial systems for research.

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