

ABOUT

Texas A&M AgriLife is committed to upholding the highest standard of ethical conduct and compliance with the legal obligations of the AgriLife agencies. In support of these efforts, the AgriLife Ethics and Compliance Office has been established within AgriLife Administrative Services to provide ethics and management advisory services, as well as to promote compliance with applicable federal, state, Texas A&M University System, and agency laws, policies, regulations, rules, and procedures.

ETHICS AND COMPLIANCE LEADERSHIP

Debra 'Debi' Fincher, Director | 979.845.4789 | debra.fincher@ag.tamu.edu

Stephanie Payton, Executive Assistant II | 979.862.2689 | stephanie.payton@ag.tamu.edu

FUNCTION		CONTACT		
Audit Liaison	Provides assistance and management support when units interact with internal and external auditors as well as for audit follow up and hotline investigations.	Debi Fincher Aliza Dirden	979.845.4789 979.845.9281	debra.fincher@ag.tamu.edu aliza.dirden@ag.tamu.edu
Ethics Point/ Investigations	Receives and investigates allegations of fraud, waste and abuse as well as violations of A&M System policy, AgriLife rules and procedures and state and federal laws.	Debi Fincher Aliza Dirden	979.845.4789 979.845.9281	debra.fincher@ag.tamu.edu aliza.dirden@ag.tamu.edu
Enterprise Risk Management/ Ethics and Compliance Plans	Assists with completion of agency's annual enterprise risk management plan/matrix, as well as ethics and compliance plans.	Aliza Dirden Kevin Helmer	979.845.9281 979.458.3289	aliza.dirden@ag.tamu.edu kevin.helmer@ag.tamu.edu
Environmental Health and Safety	Provides services that promote a healthy and safe environment and ensure regulatory compliance.	Kevin Helmer Charlotte Bajoie	979.458.3289 979.862.9199	kevin.helmer@ag.tamu.edu cbajoie@tamu.edu
Export Controls	Implements risk-based procedures to safeguard against export controls violations in the areas of sponsored research, educational activities, visitors, employment, international activities, financial transactions, contracts, shipments and technology commercialization.	Jamie Ausley Alex Cleghorn	979.458.8214 979.845.4766	jlausley@ag.tamu.edu alexandra.cleghorn@ag.tamu.edu
Financial Conflict of Interest	Provides support in management, reduction, and/or elimination of financial conflicts of interest in research.	Kevin Helmer Debi Fincher	979.458.3289 979.845.4789	kevin.helmer@ag.tamu.edu debra.fincher@ag.tamu.edu
International Travel	Liaises with System Risk Management to provide assistance with travel to extreme-risk countries and regions.	Jamie Ausley Jennifer Green	979.458.8214 979.862.6307	jlausley@ag.tamu.edu jkgreen@ag.tamu.edu
Management Advisory and Compliance Services	Assists units with methods of improving performance and productivity through analyzing processes for efficient and effective operation and preparation for audits.	Aliza Dirden Kevin Helmer	979.845.9281 979.458.3289	aliza.dirden@ag.tamu.edu kevin.helmer@ag.tamu.edu
Indirect Nepotism	Assists with regulations governing the employment of relatives or close acquaintances as related to sponsored research projects.	Jamie Ausley Alex Cleghorn	979.458.8214 979.845.4766	jlausley@ag.tamu.edu alexandra.cleghorn@ag.tamu.edu
Open Records	Manages public information requests and compilation of responses to comply with the Texas Public Information Act.	Jennifer Green	979.862.6307	jkgreen@ag.tamu.edu
Programs for Minors	Provides support and guidance for events involving minors, including in laboratories and in programs such as Texas 4-H.	Kevin Helmer Aliza Dirden	979.458.3289 979.845.9281	kevin.helmer@ag.tamu.edu aliza.dirden@ag.tamu.edu
Records Management	Assists units in compliance with state and A&M System records management requirements and approves destruction requests.	Jennifer Green	979.862.6307	jkgreen@ag.tamu.edu
Research Compliance	Provides support in research areas outside of export controls to include IBC, AACUC, IACUC and IRB.	Alex Cleghorn Jamie Ausley	979.845.4766 979.458.8214	alexandra.cleghorn@ag.tamu.edu jlausley@ag.tamu.edu
Rules and Procedures	Coordinates the development, review, and approval of all new and revised agency rules and procedures.	Shannon Prescott Stephanie Payton	979.458.5291 979.862.2689	shannon.prescott@ag.tamu.edu stephanie.payton@ag.tamu.edu
Unmanned Aerial Systems	Provides coordination for compliance with federal, System, and agency laws, policies, rules and procedures in the use of unmanned aerial systems for research.	Aliza Dirden Jennifer Green	979.845.9281 979.862.6307	aliza.dirden@ag.tamu.edu jkgreen@ag.tamu.edu